

PAT-NO: WO009813790A1

DOCUMENT-IDENTIFIER: WO 9813790 A1

TITLE: PROOF OF POSTAGE DIGITAL FRANKING

PUBN-DATE: April 2, 1998

INVENTOR-INFORMATION:

NAME	COUNTRY
BROOKNER, GEORGE	US
BROWN, MICHAEL	US
ESKANDARI, FETNEH	US
SCHWARTZ, ROBERT	US
ZUIDEMA, ERIC	US

ASSIGNEE-INFORMATION:

NAME	COUNTRY
ASCOM HASLER MAILING SYS INC	US
BROOKNER GEORGE	US
BROWN MICHAEL	US
ESKANDARI FETNEH	US
SCHWARTZ ROBERT	US
ZUIDEMA ERIC	US

APPL-NO: US09717065

APPL-DATE: September 24, 1997

PRIORITY-DATA: US02475696P (September 24, 1996)

INT-CL (IPC): G07B017/00

EUR-CL (EPC): G07B017/00; G07B017/00, G07B017/00

ABSTRACT:

CHG DATE=19990617 STATUS=O>A proof-of-postage generating system (22, 24, 25, 26, 27) wherein funds, application of those funds, the replenishment of those

**BEST AVAILABLE COPY**

funds and the auditing of those funds are secure against attempts at fraud. The system (22, 24, 25, 26, 27) may either be a Closed System (CS) wherein the proof-of-postage printing means (22) are housed within the system computational means (24, 26) or within a cryptographically secure boundary. Further, the system (22, 24, 25, 26, 27) may be an Open System (OS) wherein the proof-of-postage printing means are external to the system computational means (24, 26).

5/6

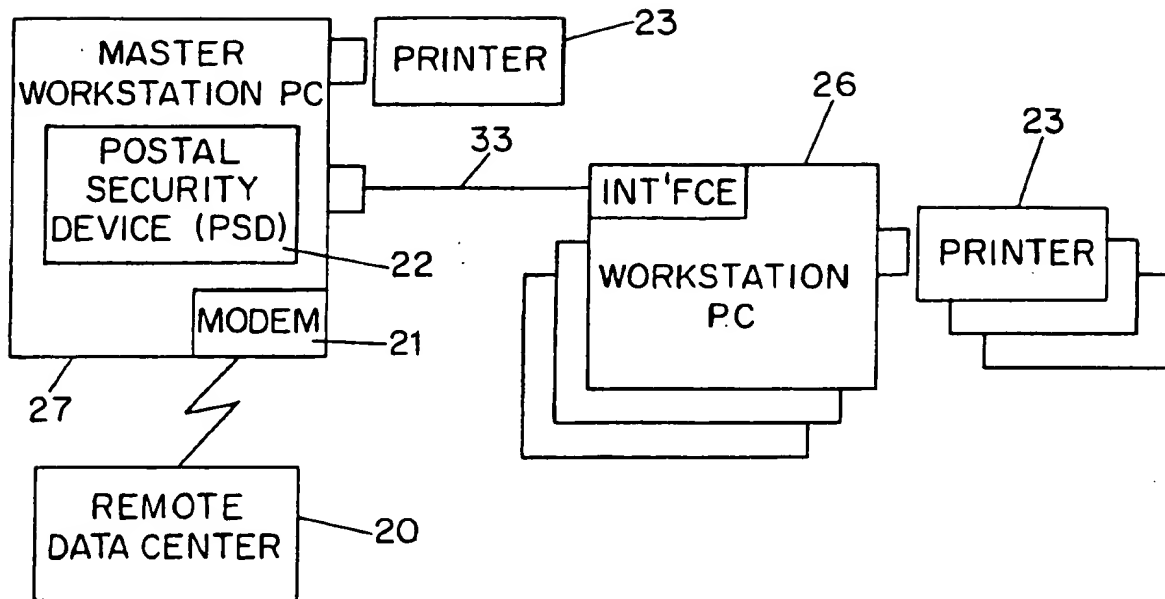
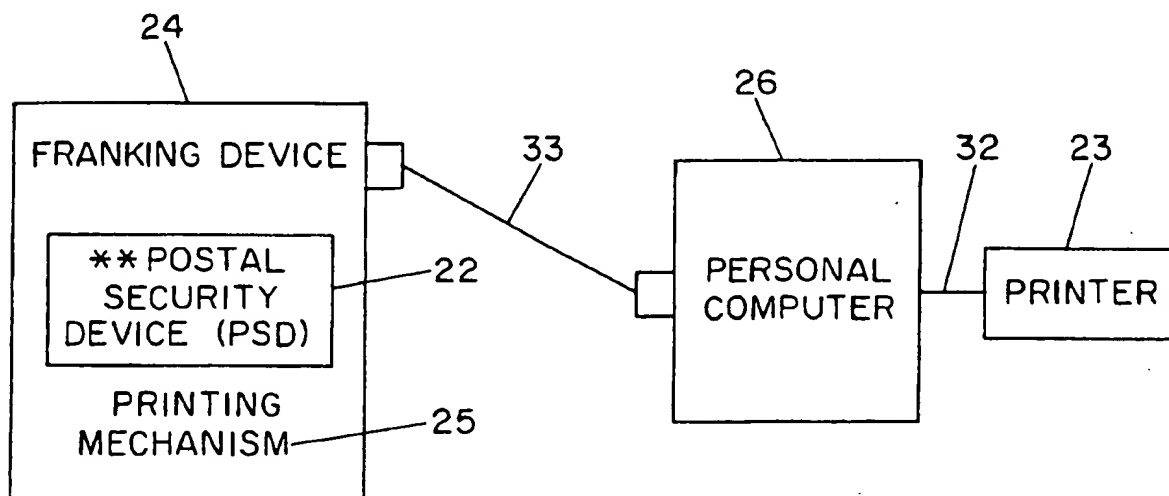


FIG. 8



\*\* ALTERNATELY, THE PSD CAN BE EXTERNAL  
TO THE FRANKING DEVICE (SEE FIG. 1)

FIG. 9A

6/6

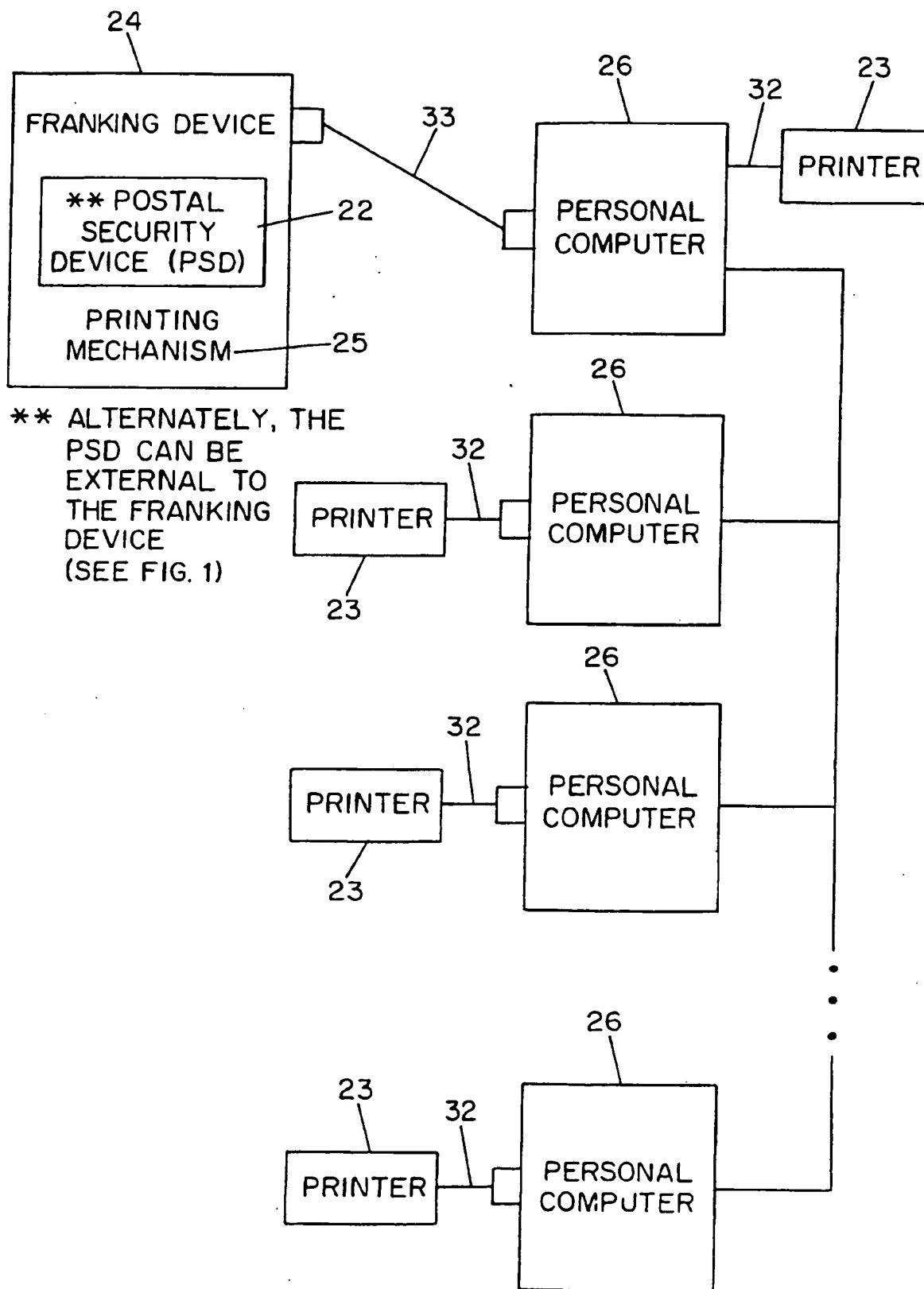


FIG. 9B

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/17065

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC(6): G07B 17/00 US Cl.: 705/403; 235/375; 340/825.31; 380/24 According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) U.S.: 235/375, 380, 381, 382; 340/825.3, 825.31, 825.35; 380/23, 24, 51, 55; 705/401, 403 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched None Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) None		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4,743,747 A (FOUGERE et al) 10 May 1988, see abstract.	1, 2, 4, 5, 7, 8, 10, 11, 13, 14, 16, 17, 19 & 20
A	US 4,813,912 A (CHICKNEAS et al) 21 March 1989, see abstract.	1, 2, 4, 5, 7, 8, 10, 11, 13, 14, 16, 17, 19 & 20
A	US 4,831,555 (SANSONE et al) 16 May 1989, see abstract.	1, 2, 4, 5, 7, 8, 10, 11, 13, 14, 16, 17, 19 & 20
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
* "A"	Special categories of cited documents: document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"B"	earlier document published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"C"	document referring to an oral disclosure, use, exhibition or other means	"A" document member of the same patent family
"P"	document published prior to the international filing date but later than the priority date claimed	
Date of the actual completion of the international search 05 FEBRUARY 1998		Date of mailing of the international search report 13 MAR 1998
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230		Authorized official EDWARD R. COSIMANO Telephone No. (703) 308-9783

Form PCT/ISA/210 (second sheet)(July 1992)\*

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/17065

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4,837,701 (SANSONE et al) 06 June 1989, see abstract.	1, 2, 4, 5, 7, 8, 10, 11, 13, 14, 16, 17, 19 & 20
A	US 4,853,864 A (HART et al) 01 August 1989, see abstract.	1, 2, 4, 5, 7, 8, 10, 11, 13, 14, 16, 17, 19 & 20
A	US 5,233,657 A (GUNTHER) 03 August 1993, see abstract.	1, 2, 4, 5, 7, 8, 10, 11, 13, 14, 16, 17, 19 & 20
A	US 5,237,506 A (HORBAL et al) 17 August 1993, see abstract.	1, 2, 4, 5, 7, 8, 10, 11, 13, 14, 16, 17, 19 & 20
A	US 5,367,464 A (ABUMEHDI et al) 22 November 1994, see abstract.	1, 2, 4, 5, 7, 8, 10, 11, 13, 14, 16, 17, 19 & 20
A, P	US 5,657,689 A (LEE) 19 August 1997, see abstract.	1, 2, 4, 5, 7, 8, 10, 11, 13, 14, 16, 17, 19 & 20

Form PCT/ISA/210 (continuation of second sheet)(July 1992)\*

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/17065

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☒ Claims Nos.: 3, 6, 9, 12, 15, 18 & 21  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:  
  
Claims 3, 6, 9, 12, 15, 18 & 21 lack support with in the disclosure for the use of the etheract.
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TC	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroun	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						



## Proof of postage digital franking

### Technical field

The invention relates generally to postage meters, also called franking machines, and relates more particularly to electronic postage meters printing digital postal indicia.

### Background art

5 Postage meters are well known. The present assignee has been designing and manufacturing postage meters for many, many decades. After these decades of experience, postage meters are extremely reliable and cost has been reduced to a minimum. A typical postage meter prints its postage by means of an intaglio-type metal or strong plastic printing plate or die plate, using specified fluorescent ink.

10 Most postage meter customers never have reason to call for repair of their postage meters. Postage meters are simple to operate and there is little to go wrong. They have been accepted by nearly all the post offices of the world. Postage meters benefit post offices by reducing the need for retail sales of postage stamps, and by making it easy for postal patrons to adjust to changes in postage rates. Present-day postage meters are able to accommodate mail pieces of  
15 varying thickness, and are able to print their indicia even if the surface of the mail piece is uneven.

Notwithstanding the reliability, low cost, and ease of use of present-day postage meter designs, it has been suggested by some postal authorities that all postage meters presently in use be removed from service and that postage be printed instead by common computer  
20 printers using ordinary ink. This means that anyone with an ordinary computer printer can readily generate a plausible-looking postal indicium at any time and in any desired quantity. The only possible approach for reducing fraud, when ordinary computer printers are used, is to incorporate cryptographically secure information into the postal indicium, and to read and verify that information on each and every mail piece. The present invention is directed to  
25 system configurations in which such cryptographically secure information is generated for use

in printing such indicia. To be commercially viable, such system configurations must not only satisfy the requirements of the postal authorities, but must also provide user function more or less approximating that of present-day postage meters.

#### Disclosure of invention

5 A proof-of-postage generating system wherein funds, application of those funds, the replenishment of those funds and the auditing of those funds are secure against attempts at fraud. The system may either be a Closed System (CS) wherein the proof-of postage printing means are housed within the system computational means or within a cryptographically secure boundary. Further, the system may be an Open System (OS) wherein the proof-of postage  
10 printing means are external to the system computational means.

#### Brief description of the drawing

The invention will be described with respect to a drawing in several figures, of which:

Fig. 1 is a functional block diagram of a first embodiment of a closed-system type of postage meter;

15 Fig. 2 is a functional block diagram of a second embodiment of a closed-system type of postage meter;

Fig. 3 is a functional block diagram of a third embodiment of a closed-system type of postage meter;

20 Fig. 4 is a functional block diagram of a first embodiment of an open-system type of postage meter;

Fig. 5 is a functional block diagram of a second embodiment of an open-system type of postage meter;

Fig. 6A is a functional block diagram of a third embodiment of an open-system type of postage meter, with an internally mounted postal security device (PSD);

Fig. 6B is a functional block diagram of a third embodiment of an open-system type of postage meter, with an externally mounted PSD;

5 Fig. 7A is a functional block diagram of a fourth embodiment of an open-system type of postage meter, with an internally mounted postal security device (PSD);

Fig. 7B is a functional block diagram of a fourth embodiment of an open-system type of postage meter, with an externally mounted PSD;

10 Fig. 8 is a functional block diagram of a fifth embodiment of an open-system type of postage meter;

Fig. 9A is a functional block diagram of a first embodiment of a hybrid of a closed-system and open-system type of postage meter; and

Fig. 9B is a functional block diagram of a second embodiment of a hybrid of a closed-system and open-system type of postage meter.

15 Modes for carrying out the invention

A proof-of-postage generating system is described wherein funds, application of those funds, the replenishment of those funds and the auditing of those funds are secure against attempts at fraud. The system may either be a Closed System (CS) wherein the proof-of postage printing means are housed within the system computational means or within a cryptographically secure  
20 boundary. Alternatively, the system may be an Open System (OS) wherein the proof-of postage printing means are external to the system computational means.

As will be described in more detail below, what is provided is a Postal Security Device (PSD)

within which is housed physically secure, as well cryptographically secure funds and associated accounting registers, said PSD itself being utilized within a dynamic system which provides for the interchange of data between a funds provider source, a computational funds tracking and maintenance source and a printing source. Each embodiment described below, whether an

5 Open System (OS) or Closed System (CS), provides all necessary security against fraudulent attacks against the system. This invention is intended to provide a customers with a number of alternative approaches to optimize the customer's use, tracking, and replenishing of the customer's franking funds within the environment surrounding the dispensing of postal funds for proof-of-payment for the services required. In all cases, the proof-of-postage (postal

10 indicium) is digitally generated data. Said digital data is represented as an image (generally, a printed image) on the mailpiece requiring said proof-of-postage. Said proof-of postage may be represented as a graphical image, human readable information, various bar codes (both 1-dimensional or 2-dimensional codes), OCR characters, etc., or any combination thereof.

The Postal Security Device (PSD) will support methods of applying postage in lieu of the

15 present-day approach, which is typically a self-contained electromechanical or mechanical postage meter which imprints indicia on mailpieces. Described below are a number of system integration designs wherein said PSD is a small element of both large and small systems capable of supporting the needs of both large and small businesses, as well as the private citizen.

20 The first embodiments set forth herein relate to Closed Systems (CS) which may take the form of three different embodiments, dependent upon the needs of the customer. This CS approach provides a printing means within the franking device or within a cryptographically secure boundary as executed by a vendor. Said franking device is dedicated to the imprinting of proof-of-postage (said proof-of-postage will take the form and aesthetics required by the

25 regulating body) and other related information (at times referred to as audit information and reports). In all cases and embodiments, the cryptographic content of the printed indicia image contains information unique to that transaction and specific PSD.

In the first embodiment of this closed system arrangement, the Postal Security Device (PSD)

22 is attached as a "dongle" (an adaptive interfacing device which connects to and uses a communications port while still allowing the port to be used by other devices) to the self contained franking device 24 (see Figure 1). The cryptographic data content between the PSD 22 and franking device 24 is verified for authenticity (e.g. signature certificate) whereupon the printing mechanism 25 within the franking device 24 delivers the appropriate image to the mailpiece, letter or invoice. Crediting new funds to the PSD is managed by an interface (modem) 21 adapted to the franking device 24 which communicates cryptographically with a host Data Center 20 which provide funds for the PSD through the franking device 24. The communications between the franking device 24 and Data Center 20 or between the franking device 24 and PSD 22 are cryptographically encoded with all transactions being verified by the crypto-code structure and certificate authorization schema as required by the regulating body. Said PSD 22 may be moved from one franking device 24 to another so long as each franking device 24 is authorized/keyed to function with said PSD 22. In all cases the PSD 22 has the ability to account for funds and history as related to the franking device 24 to which it has been attached.

Those skilled in the art will appreciate that the communications channel 31 between the franking device 24 and the data center 20 need not be secure. The channel 31 may be a dialed voice telephone call over the public switched telephone network, with modems at each end of the line. Alternatively, the channel 31 may be an ISDN telephone call, or may be a TCP/IP session placed over any suitable physical medium and underlying protocol, such as frame relay. The communications between the franking device 24 and data center 20 may desirably be carried out as set forth in U.S. Pat. No. 5,237,506, assigned to the same assignee as the assignee of the present invention.

Those skilled in the art will also appreciate that the postal security device 22 contains an accounting register indicative of postage value, and contains cryptographic means, said cryptographic means disposed for secure communications with a remote host 20 for adjustment of the contents of said accounting register, said cryptographic means further disposed for generation of data to be included in said postal indicia, said postal security device 22 disposed to account within said accounting register for postage value provided in said

postal indicia and to fail to generate such data when said accounting register satisfies a predetermined condition. In a typical arrangement, the accounting register of the PSD 22 is a descending register, and postal indicia are printed only if the value stored in the descending register is greater than the amount of postage value desired to be printed. In this way the postage printing system employing the PSD 22 mimics the well-known behavior of a present-day postage meter in which the meter refuses to print more postage if it is empty or almost empty.

In the second embodiment of this closed system employment, as shown in Fig. 2, the Postal Security Device (PSD) 22 is internal to the franking device 24 and is disposed to the same security requirements of the first embodiment. Crediting new funds to the PSD is managed by an interface (modem) 21 adapted to the franking device 24 which communicates cryptographically with a host Data Center 20 which provide funds for the PSD 22 through the franking device 24. The communications between the franking device 24 and Data Center 20 or between the franking device 24 and PSD 22 are cryptographically encoded with all transactions being verified by the crypto-code structure and certificate authorization schema as required by the regulating body. The communications between the franking device 24 and data center 20 may desirably be carried out as set forth in U.S. Pat. No. 5,237,506, assigned to the same assignee as the assignee of the present invention. Said PSD 22 is not accessible for removal from the franking device 24. Attempts to do so or to modify PSD contents will be met with its fail safe ability to secure itself and its internal registers as required by the regulatory authority.

In the third embodiment of this closed system employment, the Postal Security Device (PSD) 22 is interfaced to a personal computer 26 as is shown in Figure 3.

The PSD 22 is credited with funds via communications (typically modem) between the personal computer (PC) 26 and associated Data Center 20. The communications between the PC 26 and Data Center 20 follow the cryptographic security rules and signature verifications required by the regulatory body. The communications between the PC 26 and data center 20 may desirably be carried out as set forth in U.S. Pat. No. 5,237,506 assigned to the same

assignee as the assignee of the present invention. Further, the secure communications between the PSD 22 and the remote franking device 24 are likewise assured.

The PC 26 is programmed so that it can receive a request from a user for the printing of postage, and forward information about the request to the PSD 22. The PSD 22 provides  
5 cryptographically secured data which will be contained in the postal indicium, and this data is provided eventually to a printer for printing.

It should be appreciated that the particular cryptographic standards employed in generating the data for the indicium are specified by the postal authorities, and thus that the particular cryptographic standard employed is not critical to the invention. Likewise, the form of  
10 indicium (e.g. 1-D or 2-D bar code and other aspects of layout) are also specified by the postal authorities and thus are not critical to the invention.

The PSD device 22, evident in the first and third embodiments (Figs. 1 and 3), present the opportunity for physically relocating said PSD 22 from a system configuration evidenced in Figure 1 to a different system configuration evidenced in Figure 3, or vice versa. Said PSD 22  
15 has the capability of optionally containing pertinent information regarding the system adaptation to which it is incorporated, including such parametric data as host serial numbers, register readings, and the like. The PSD 22 noted in Figure 3 could be located in or on the Franking Device 24, to wit, the PC 26 would communicate to the Franking Machine's PSD via any PC compatible communications link (e.g. RS232, parallel, etc.).

20 The Open System (OS) arrangement, which may take the form of five different embodiments, will now be described. The selection of the particular embodiment is determined by the needs of the customer. This employment provides a printing means 23 outside a franking device. Said printing means 23 is any commercially available printing means capable of reproducing the franked image content, makeup and resolution in accordance with regulatory requirements  
25 addressing said franked image content, makeup and resolution. In all cases and embodiments, the cryptographic content of the printed indicia image contains information unique to that transaction and specific PSD. In the first embodiment of this open system arrangement, as

shown in Fig. 4, the PSD 22 is interfaced to a Personal Computer (PC) 26 communication port. Also interfaced to the same PC 26 is a printer 23 capable of reproducing the franked image content, makeup and resolution in accordance with regulatory requirements.

5 Crediting new funds to the PSD 22 is managed by an interface (modem) 21 adapted to the PC 26 which communicates cryptographically with a host Data Center 20 which provide funds for the PSD 22 through the PC 26. The communications between the Data Center 20 and PSD 22 are cryptographically encoded with all transactions being verified by the crypto-code structure and certificate authorization schema as required by the regulating body. The communications between the PSD 22 and data center 20 may desirably be carried out as set  
10 forth in U.S. Pat. No. 5,237,506, assigned to the same assignee as the assignee of the present invention. Said PSD may be moved from one PC 26 to another. Further, said PSD 22 may be relocated to a Closed System (CS) embodiment such as that set forth in Figs. 1 and 3.

15 In the second embodiment of an Open System arrangement, the PSD 22 is internally interfaced to a Personal Computer (PC) 26 as is shown in Figure 5. Also interfaced to the same PC 26 is a printer 23 capable of reproducing the franked image content, makeup and resolution in accordance with regulatory requirements.

20 This embodiment of the Postal Security Device (PSD) 22 is subjected to the same security requirements as are applicable in the first embodiment. Crediting new funds to the PSD 22 is managed by interface (modem) 21 adapted to the PC 26 which communicates cryptographically with a host Data Center 20 which provide funds for the PSD 22 through the PC 26. The communications between the Data Center 20 and PSD 22 are cryptographically encoded with all transactions being verified by the crypto-code structure and certificate authorization schema as required by the regulating body. The communications between the  
25 PSD 22 and data center 20 may desirably be carried out as set forth in U.S. Pat. No. 5,237,506, assigned to the same assignee as the assignee of the present invention.

In the third embodiment of an Open System arrangement, the PSD 22 is internally mounted (Figure 6A) or externally interfaced (Figure 6B) to a networked host 27. Networked to the



host 27 are one or more Personal Computers (PC) 26. The printing device 23 is interfaced to the host 27, as might be the case in a centralized mailing application. The printer 23 is capable of reproducing the franked image content, makeup and resolution in accordance with regulatory requirements.

5 This embodiment of the Postal Security Device (PSD) 22 is subjected to the same security requirements as in the other embodiments. Crediting new funds to the PSD 22 is managed by interface (modem) 21 adapted to the Networked host which communicates cryptographically with a host Data Center 20 which provide funds for the PSD 22 through the Networked host 27. The communications between the Data Center 20 and PSD 22 are cryptographically  
10 encoded with all transactions being verified by the crypto-code structure and certificate authorization schema as required by the regulating body. The communications between the PSD 22 and data center 20 may desirably be carried out as set forth in U.S. Pat. No. 5,237,506, assigned to the same assignee as the assignee of the present invention.

The Networked host 27 provides its interfaced printer 23 with the indicia representing  
15 addressing and postage value information requested by the local PCs 26 in accordance with indicia context requirements of the regulatory body. The PSD 22 depicted in Fig. 6B may be moved to any other Open or Closed system application interfacing the PSD 22 in a like manner.

In the fourth embodiment of an Open System arrangement, the printing devices 23 are  
20 interfaced to local Personal Computers 26, rather than to a Networked host 27. Figs. 7A and 7B present the described configuration. Fig. 7A defines the Networked host 27 with its PSD 22 internally mounted while Figure 7B shows the PSD 22 externally interfaced to the Networked host 27. However, the PSD 22 depicted in Fig. 7B may be moved to any other Open or Closed system application interfacing the PSD 22 in a like manner.

25 In a fifth embodiment of an Open System arrangement, the printing devices 23 are interfaced to either local Personal Computers 26 or a master/host workstation 27 as shown in Fig. 8. A single PSD 22 can support one or more indicium application sources from a master

workstation 27. This embodiment is typical of a decentralized office environment where indicium applications occur at different workstations 26. However, only one workstation 27 in the local network loop 33 need have the PSD 22. All work stations 26 have the ability to produce secure indicia.

5 This embodiment of the Postal Security Device (PSD) 22 is subjected to the same security requirements as in the previously described embodiments. Crediting new funds to the PSD 22 is managed by interface (modem) 21 adapted to the workstation 27 to which the PSD 22 is attached which communicates cryptographically with a remote host Data Center 20 which, in turn, provides funds for the PSD through the workstation 27 to which the PSD 22 is attached.  
10 The workstation 27 to which the PSD 22 is attached provides its interfaced printer 23 and/or one or more of its interfaced workstations 26 with the indicia representing addressing and postage value information requested by the associated workstation 23 in accordance with indicia context requirements of the regulatory body. The PSD 22 depicted in Fig. 8 may be moved to any other Open or Closed system application interfacing the PSD 22 in a like  
15 manner.

Finally, hybrid systems may be employed in which a Closed System (CS) franking device is interfaced to an Open System (OS) Personal Computer-based system which may take the form of two different embodiments, dependent upon the needs of the customer, as disclosed in Figs. 9A and 9B. Such a system provides the ability for a CS, typified in Fig. 2 whose PSD  
20 may be internal to the franking device as disclosed in Fig. 2, or external to the franking device, as disclosed in Fig. 1. The Fig. 9A embodiment depicts said franking device interfaced to an external Personal Computer (PC) 26 which requests and receives proof of postage data from the CS franking device 24 for application to a mailpiece being processed through its (the PCs) own dedicated printer 23.

25 Alternately as shown in Fig. 9B, said PC 24 may be networked to one or more Personal Computers 26 with each of those PCs 26 accessing one or more printers 23. Said printing means relates to any commercially available printing means capable of reproducing the franked image content, makeup and resolution in accordance with regulatory requirements addressing

said franked image content, makeup and resolution. In each embodiments, the cryptographic content of the printed indicia image contains information unique to that transaction and specific PSD.

In summary, the following have been disclosed:

5       •The PSD 22 via the "dongle" or other adaptive interfacing device which connects to and uses a communications port while still allowing the port to be used by other devices interface may be connected to a device not previously predisposed to accepting installation of said PSD 22.

•The PSD 22 can be credited with new or additional funds via a modem 21 within or external to the PSDs host.

10       •The PSD 22 can be credited with new or additional funds via a communications port (e.g. RS232) on the PSDs host. The host, in turn, utilizes its internal or external modem to contact a remote central Data Center for downloading of funds to be credited to the PSD 22.

15       •The PSD 22 may be removed from its host and connected to the parallel or serial port of a PC 26 with modem communications ability wherein said PC would communicate with a remote central Data Center to download funds into the PSD. The PSD would then be returned to its operational host.

•The PSD may be connected directly to a PC wherein:

- A postage metering device obtains a postmark (indicium) data from said PC, operating in a Closed System (CS) environment.

20       - A PC software can obtain a postmark (indicium) data from the same PSD in either an OS or CS.

- A PC can be networked and share a single PSD with associated PCs/workstations in

## Claims

1. A system for printing postal indicia, the system comprising:

5 a postage meter containing, within a secure housing, a printing mechanism and a postal security device, said postal security device containing an accounting register indicative of postage value, and containing cryptographic means, said cryptographic means disposed for secure communications with a remote host for adjustment of the contents of said accounting register, said cryptographic means further disposed for generation of data to be included in said postal indicia, said postal security device disposed to account within said accounting register for postage value provided in said postal indicia and to fail to generate such data when  
10 said accounting register satisfies a predetermined condition;

said postage meter communicatively coupled with a plurality of personal computers, each personal computer connected with a corresponding printer;

each of said personal computers programmed to receive requests from respective users for the printing of postal indicia, and to receive generated data from the postal security device  
15 responsive to the requests, and to print postal indicia within which the generated data is provided.

2. The system of claim 1 wherein the postage meter and personal computers are communicatively coupled via a local-area network.

3. The system of claim 2 wherein the postage meter and personal computers are  
20 communicatively coupled via ethernet.

4. A system for printing postal indicia, the system comprising:

a postage meter containing, within a secure housing, a printing mechanism and a first cryptographic means;

said postage meter communicatively coupled with a postal security device, said postal security device containing an accounting register indicative of postage value, and containing second cryptographic means, said second cryptographic means disposed for secure communications with a remote host for adjustment of the contents of said accounting register, said second  
5 cryptographic means further disposed for generation of data to be communicated in cryptographically secure communication with the first cryptographic means for inclusion in said postal indicia, said postal security device disposed to account within said accounting register for postage value provided in said postal indicia and to fail to generate such data when said accounting register satisfies a predetermined condition;

10 said postage meter communicatively coupled with a plurality of personal computers, each personal computer connected with a corresponding printer;

each of said personal computers programmed to receive requests from respective users for the printing of postal indicia, and to receive generated data from the postal security device responsive to the requests, and to print postal indicia within which the generated data is  
15 provided.

5. The system of claim 4 wherein the postage meter and personal computers are communicatively coupled via a local-area network.

6. The system of claim 5 wherein the postage meter and personal computers are communicatively coupled via ethernet.

20 7. A system for printing postal indicia, the system comprising:

a postage meter containing, within a secure housing, a printing mechanism and a postal security device, said postal security device containing an accounting register indicative of postage value, and containing cryptographic means, said cryptographic means disposed for secure communications with a remote host for adjustment of the contents of said accounting  
25 register, said cryptographic means further disposed for generation of data to be included in

said postal indicia, said postal security device disposed to account within said accounting register for postage value provided in said postal indicia and to fail to generate such data when said accounting register satisfies a predetermined condition;

5      said postage meter communicatively coupled with a personal computer, said personal computer connected with a corresponding printer;

said personal computer programmed to receive requests from a respective user for the printing of postal indicia, and to receive generated data from the postal security device responsive to the requests, and to print postal indicia within which the generated data is provided.

10      8. The system of claim 7 wherein the postage meter and personal computer are communicatively coupled via a local-area network.

9. The system of claim 8 wherein the postage meter and personal computers are communicatively coupled via ethernet.

10. A system for printing postal indicia, the system comprising:

15      a postage meter containing, within a secure housing, a printing mechanism and a first cryptographic means;

20      said postage meter communicatively coupled with a postal security device, said postal security device containing an accounting register indicative of postage value, and containing second cryptographic means, said second cryptographic means disposed for secure communications with a remote host for adjustment of the contents of said accounting register, said second cryptographic means further disposed for generation of data to be communicated in cryptographically secure communication with the first cryptographic means for inclusion in said postal indicia, said postal security device disposed to account within said accounting register for postage value provided in said postal indicia and to fail to generate such data when said accounting register satisfies a predetermined condition;

said postage meter communicatively coupled with a personal computer, said personal computer connected with a corresponding printer;

5 said personal computer programmed to receive requests from a respective user for the printing of postal indicia, and to receive generated data from the postal security device responsive to the requests, and to print postal indicia within which the generated data is provided.

11. The system of claim 10 wherein the postage meter and personal computer are communicatively coupled via a local-area network.

12. The system of claim 11 wherein the postage meter and personal computer are communicatively coupled via ethernet.

10 13. A system for printing postal indicia, the system comprising:

a first personal computer, said first personal computer connected with a respective printer;

15 said first personal computer communicatively coupled with a postal security device, said postal security device containing an accounting register indicative of postage value, and containing a cryptographic means, said cryptographic means disposed for secure communications with a remote host for adjustment of the contents of said accounting register, said cryptographic means further disposed for generation of data to be included in said postal indicia, said postal security device disposed to account within said accounting register for postage value provided in said postal indicia and to fail to generate such data when said accounting register satisfies a predetermined condition;

20 said first personal computer communicatively coupled with a plurality of second personal computers, said second personal computers each connected with a corresponding printer;

each of said second personal computers programmed to receive requests from a respective user for the printing of postal indicia, and to receive generated data from the postal security

device responsive to the requests, and to print postal indicia within which the generated data is provided.

14. The system of claim 13 wherein the first personal computer and second personal computers are communicatively coupled via a local-area network.

5 15. The system of claim 14 wherein the first personal computer and second personal computers are communicatively coupled via ethernet.

16. A system for printing postal indicia, the system comprising:

a first personal computer;

10 said first personal computer communicatively coupled with a postal security device, said postal security device containing an accounting register indicative of postage value, and containing a cryptographic means, said cryptographic means disposed for secure communications with a remote host for adjustment of the contents of said accounting register, said cryptographic means further disposed for generation of data to be included in said postal indicia, said postal security device disposed to account within said accounting register for postage value provided  
15 in said postal indicia and to fail to generate such data when said accounting register satisfies a predetermined condition;

said first personal computer communicatively coupled with a plurality of second personal computers, said second personal computers each connected with a corresponding printer;

20 each of said second personal computers programmed to receive requests from a respective user for the printing of postal indicia, and to receive generated data from the postal security device responsive to the requests, and to print postal indicia within which the generated data is provided.

17. The system of claim 16 wherein the first personal computer and second personal



computers are communicatively coupled via a local-area network.

18. The system of claim 17 wherein the first personal computer and second personal computers are communicatively coupled via ethernet.

19. A system for printing postal indicia, the system comprising:

5 a first personal computer, said first personal computer connected with a first printer;

said first personal computer communicatively coupled with a postal security device, said postal security device containing an accounting register indicative of postage value, and containing a cryptographic means, said cryptographic means disposed for secure communications with a remote host for adjustment of the contents of said accounting register, said cryptographic  
10 means further disposed for generation of data to be included in said postal indicia, said postal security device disposed to account within said accounting register for postage value provided in said postal indicia and to fail to generate such data when said accounting register satisfies a predetermined condition;

15 said first personal computer communicatively coupled with a plurality of second personal computers;

each of said second personal computers programmed to receive requests from a respective user for the printing of postal indicia and to communicate said requests to said first personal computer, said first personal computer programmed to respond to such requests by receiving generated data from the postal security device responsive to the requests, and to print on said  
20 first printer postal indicia within which the generated data is provided.

20. The system of claim 19 wherein the first personal computer and second personal computers are communicatively coupled via a local-area network.

21. The system of claim 20 wherein the first personal computer and second personal

computers are communicatively coupled via ethernet.

1/6

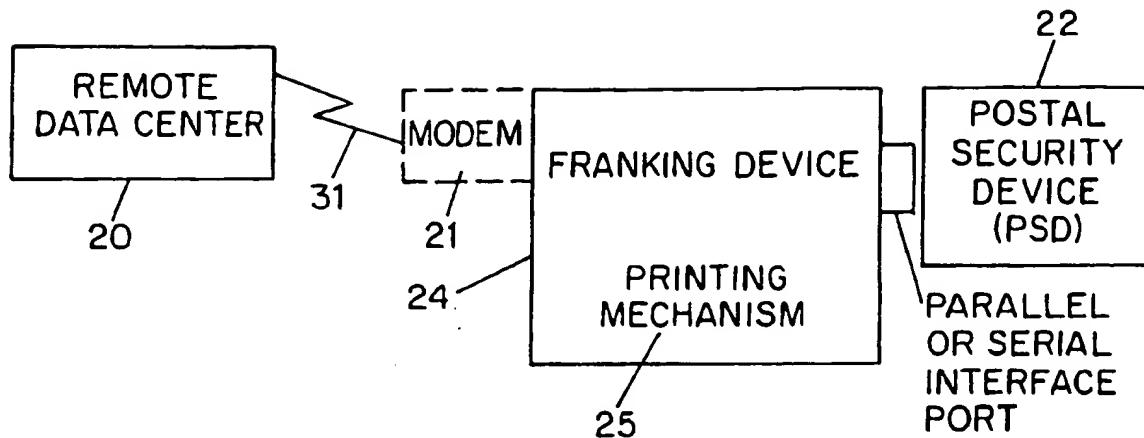


FIG. 1

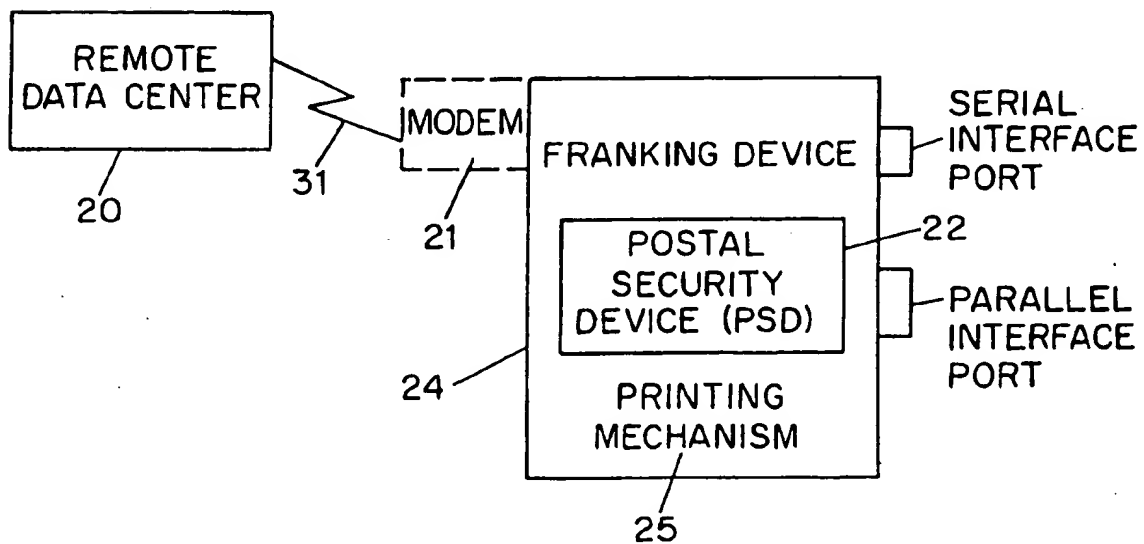


FIG. 2

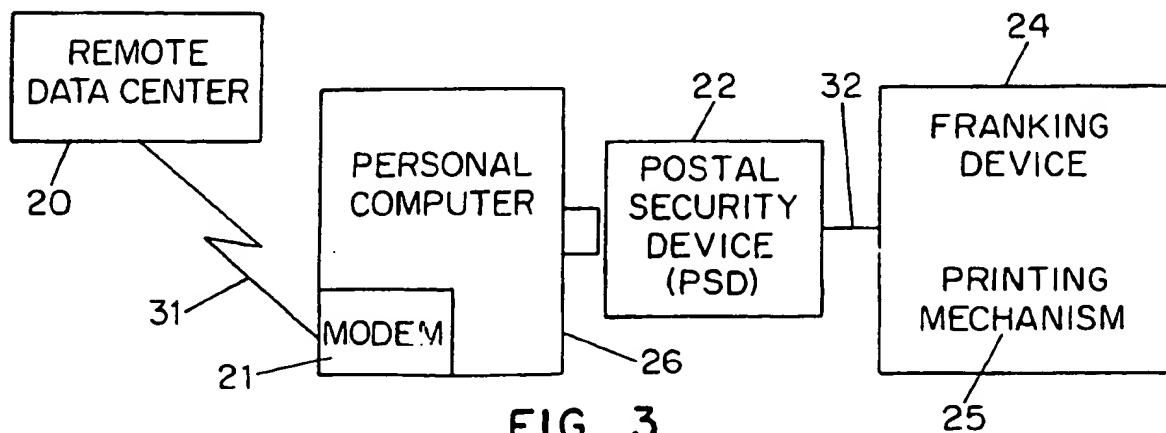


FIG. 3

2/6

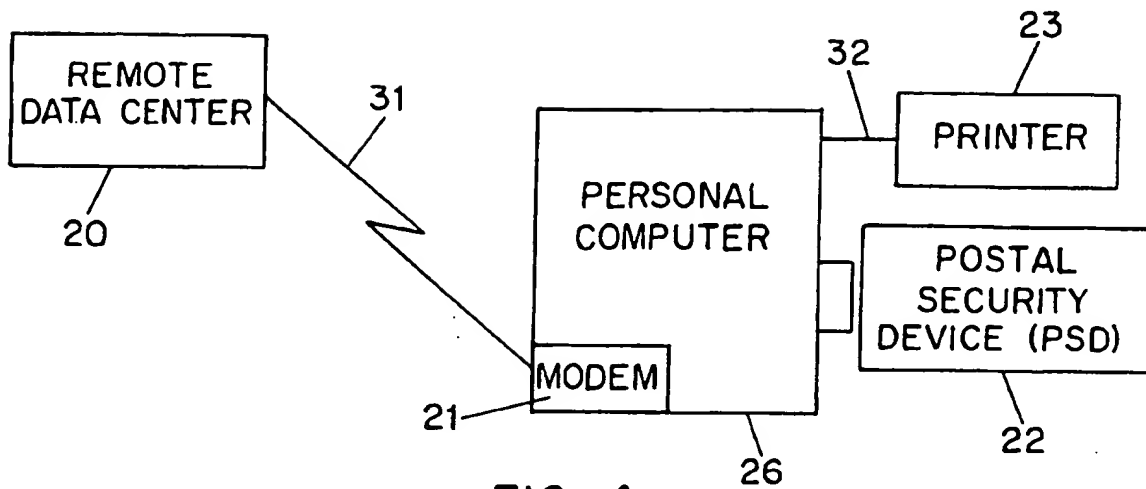


FIG. 4

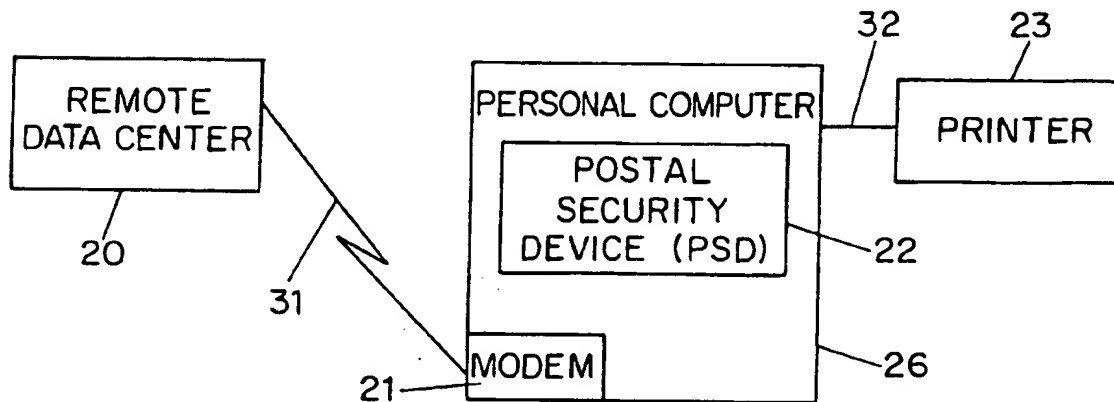


FIG. 5

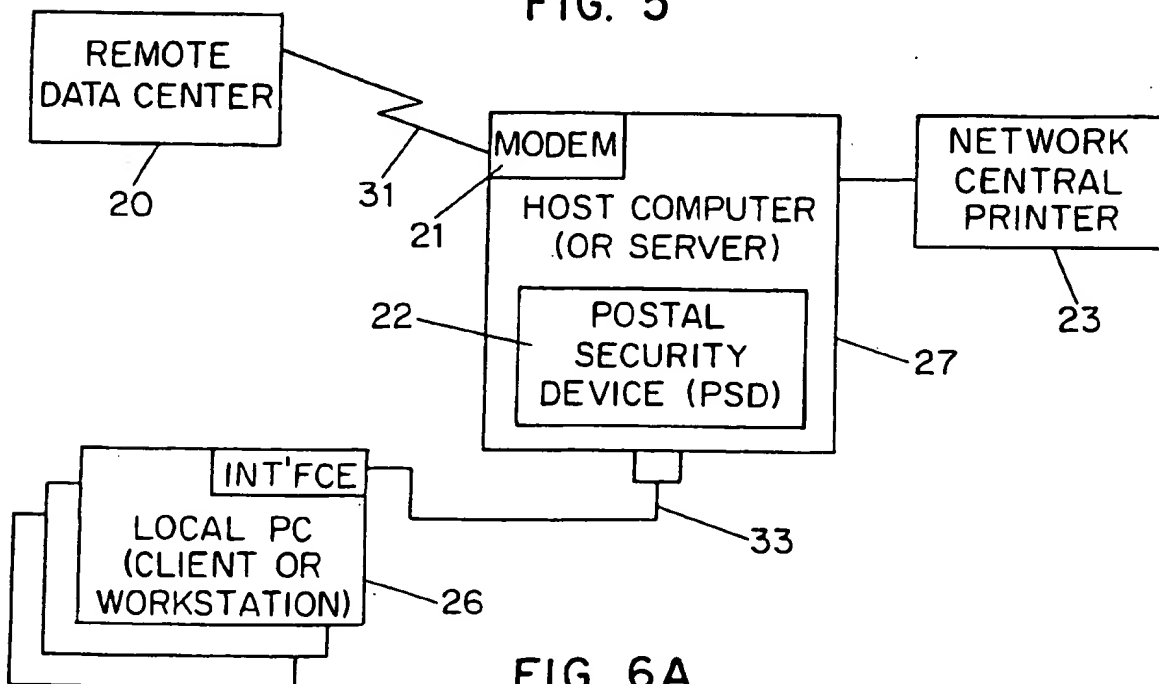


FIG. 6A

3/6

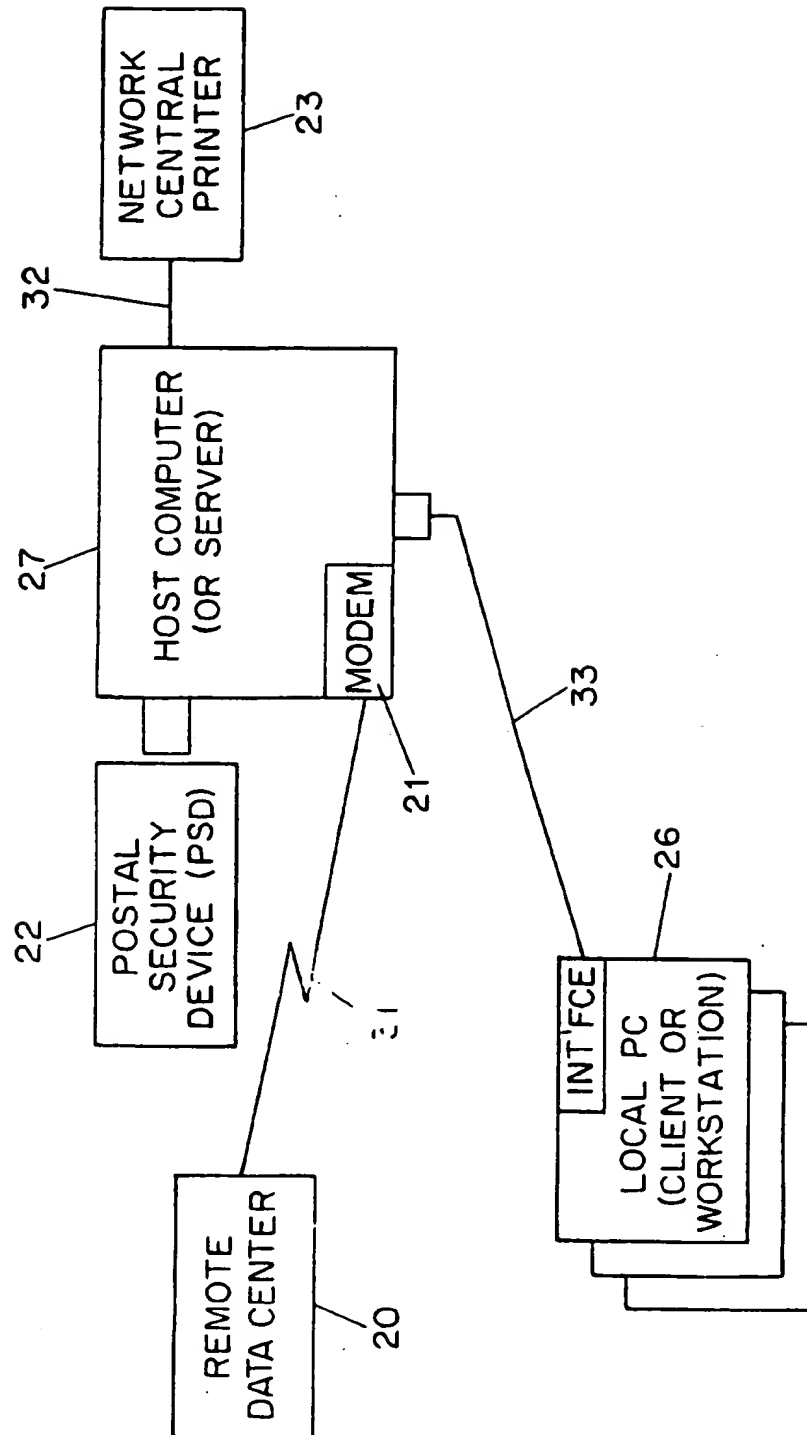


FIG. 6B

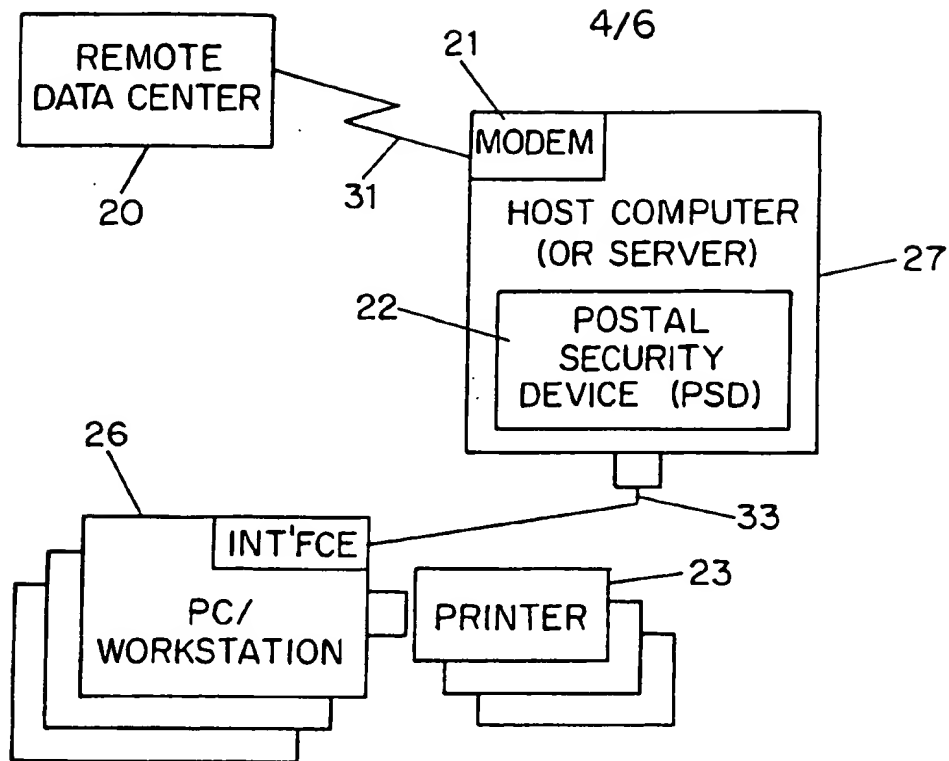


FIG. 7A

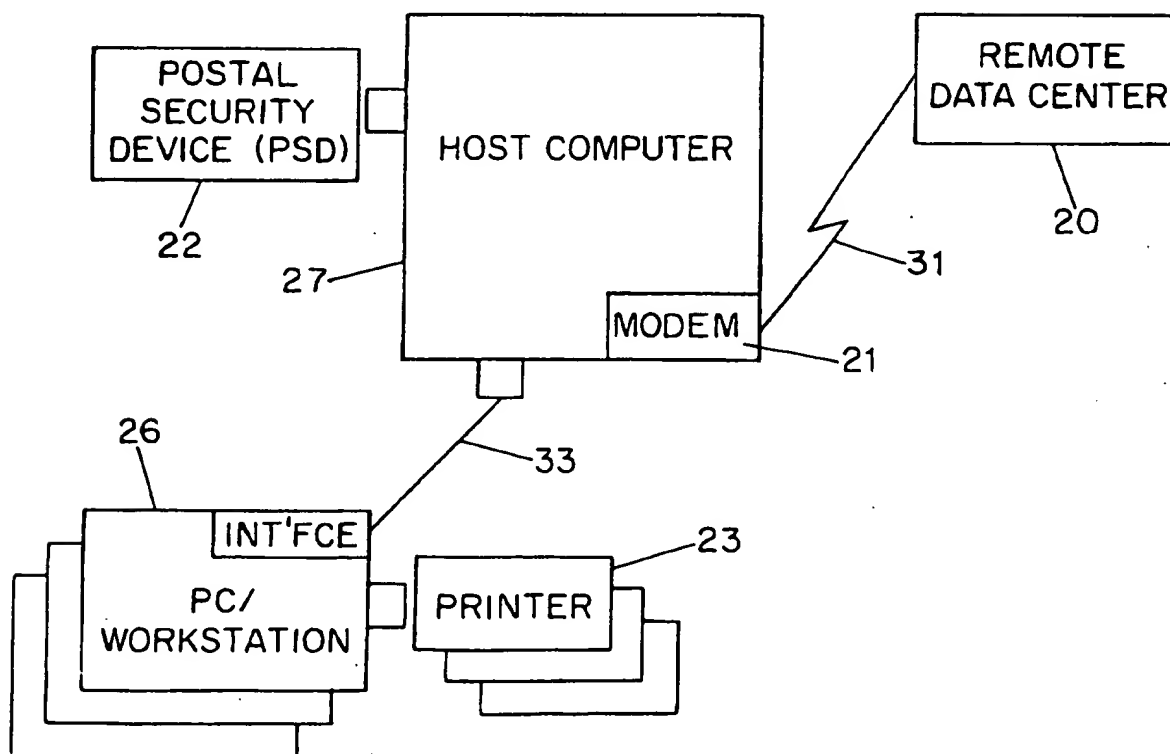


FIG. 7B

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☒ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**